ADDITIONAL INVESTMENT APPLICATION FORM.

BEFORE YOU START

Once you've read all of the product literature and decided which funds you would like to include in this additional investment into your Portfolio Bond (IPS), you're ready to invest. Simply complete this application form and send it to the address below, along with your cheque and supporting documentation.

All lives assured and policyholders must be the same as the existing contract.

A copy of your completed application form is available on request.

The terms and conditions relating to your additional investment into your Portfolio Bond (IPS) are contained in the **Product Guide** and **Schedule**. We'll send these to you once your additional investment has started. A copy of the **Product Guide** is also available on request.

IF YOU HAVE ANY QUESTIONS

Remember, if you're unsure about anything now is the time to ask your adviser or we'll be happy to help.

HOW TO COMPLETE YOUR APPLICATION

- This application is for additional investments into an existing Portfolio Bond (IPS) only. If you do not have an existing Portfolio Bond (IPS), please contact your adviser.
- Please use BLOCK CAPITALS in all of your answers.
- To help you, we've included useful hints and tips in the left-hand column.
- If you make a mistake, correct the error by crossing out (do not use correction fluid) and initialling the change.
- This application form includes a number of questions that are designed to protect you and us from financial crime.
- Remember, you should read all of the literature about Legal & General's Portfolio Bond (IPS) including the **Key Features, Funds key features** and **Illustration** before you proceed. If you don't understand any point, please ask for further information.
- Please make sure you sign and date the **Declaration** in Part 6.

Please send this additional investment application to:
Legal & General, PO BOX 1112, Chelmsford, CM99 2UX.

RESIDENCY

The bond is designed for policyholders who are UK residents. You should speak to your adviser to confirm if the bond is still suitable for you if you:

- are currently a non-UK resident or become a non-UK resident after you invest, or
- are subject to tax in another country or become subject to tax in another country after you invest.

The bond is not available for US persons. If you are unsure if you (or if applicable, the trust) are a US person, please speak to your adviser. Further information can be found in the **Declaration** in Part 6.

PART 1	APPLICANT DETAILS

All applicants must complete sections A and B

Have you spoken to an adviser about this additional investment into your existing Portfolio Bond?

☐ Yes ☐ No

If 'yes', please confirm the following:

Name of adviser firm	
Name of adviser	

A Applicant(s)

The applicant is the person, or persons, who is/are investing the money in the bond. They own the bond. We refer to them as the policyholder(s) in everything we send them after the bond is set up.

All applicants must be aged 18 or over and must be currently named as policyholders on the existing Portfolio Bond you're making an additional investment into.

All applicants must sign and date the **Declaration** in Part 6.

	First or sole applicant	Second applicant
Mr/Mrs/Miss Ms/other		
Surname		
Forename(s) (in full)		
Date of birth		
Main residential address (including postcode and country)		
	Postcode	Postcode
	Country	Country
	Phone number	Phone number
	Email (optional)	Email (optional)
Previous address (if resident at above address for less than three months)		
	Postcode	Postcode
	Country	Country

Only applicants who are providing the money for the investment into the bond should complete the following occupation details.

	First or sole applicant	Second applicant
Occupation		

Annual salary/Income band

First or sole applicant				Second applicant			
£0 - £14,999	☐	£15,000 - £29,999	☐	£0 - £14,999	☐	£15,000 - £29,999	☐
£30,000 - £59,999	☐	£60,000 - £99,999	☐	£30,000 - £59,999	☐	£60,000 - £99,999	☐
£100,000 - £149,999	☐	£150,000+	☐	£100,000 - £149,999	☐	£150,000+	☐

i To protect you and us from financial crime, we may be required to verify the identity of new and sometimes existing customers. This may be achieved by using reference agencies to search sources of information relating to you (an identity search). This will not affect your credit rating. If this fails, we may need to approach you to obtain documentary evidence of identity.

All applicants must be aged 18 or over and must be currently named as policyholders on the existing Portfolio Bond you're making an additional investment into.

All applicants must sign and date the **Declaration** in Part 6.

	Third applicant	Fourth applicant
Mr/Mrs/Miss Ms/other		
Surname		
Forename(s) (in full)		
Date of birth		
Main residential address (including postcode and country)		
	Postcode	Postcode
	Country	Country
	Phone number	Phone number
	Email (optional)	Email (optional)
Previous address (if resident at above address for less than three months)		
	Postcode	Postcode
	Country	Country

Only applicants who are providing the money for the investment into the bond should complete the following occupation details.

Third applicant				Fourth applicant			
Occupation				Occupation			
Annual salary/Income band				Annual salary/Income band			
£0 - £14,999	☐	£15,000 - £29,999	☐	£0 - £14,999	☐	£15,000 - £29,999	☐
£30,000 - £59,999	☐	£60,000 - £99,999	☐	£30,000 - £59,999	☐	£60,000 - £99,999	☐
£100,000 - £149,999	☐	£150,000+	☐	£100,000 - £149,999	☐	£150,000+	☐

i To protect you and us from financial crime, we may be required to verify the identity of new and sometimes existing customers. This may be achieved by using reference agencies to search sources of information relating to you (an identity search). This will not affect your credit rating. If this fails, we may need to approach you to obtain documentary evidence of identity.

All applicants must be aged 18 or over and must be currently named as policyholders on the existing Portfolio Bond you're making an additional investment into.

All applicants must sign and date the **Declaration** in Part 6.

	Fifth applicant	Sixth applicant
Mr/Mrs/Miss Ms/other		
Surname		
Forename(s) (in full)		
Date of birth		
Main residential address (including postcode and country)		
	Postcode	Postcode
	Country	Country
	Phone number	Phone number
	Email (optional)	Email (optional)
Previous address (if resident at above address for less than three months)		
	Postcode	Postcode
	Country	Country

Only applicants who are providing the money for the investment into the bond should complete the following occupation details.

	Fifth applicant			Sixth applicant			
Occupation				Occupation			
Annual salary/Income band				Annual salary/Income band			
£0 - £14,999	☐	£15,000 - £29,999	☐	£0 - £14,999	☐	£15,000 - £29,999	☐
£30,000 - £59,999	☐	£60,000 - £99,999	☐	£30,000 - £59,999	☐	£60,000 - £99,999	☐
£100,000 - £149,999	☐	£150,000+	☐	£100,000 - £149,999	☐	£150,000+	☐

i To protect you and us from financial crime, we may be required to verify the identity of new and sometimes existing customers. This may be achieved by using reference agencies to search sources of information relating to you (an identity search). This will not affect your credit rating. If this fails, we may need to approach you to obtain documentary evidence of identity.

UK tax regulations and legislation require us to collect and report certain information about each applicant's tax residence. If your tax residence is outside the UK, we may be legally obliged to pass on information, about this and your policy, to HM Revenue & Customs (HMRC). HMRC may in turn share this with other tax authorities in line with intergovernmental agreements to exchange financial account information. Each country has its own rules for defining tax residence and details of the rules, along with other relevant information, can be found on oecd.org

Your tax residence is generally the country where you live, work and are liable to taxes, though it is also possible to be tax resident in more than one country at the same time (dual residency). If you are a US citizen or hold a US passport or Green Card, you may be considered tax resident in the US (please note this bond is not available to US persons). If you are in any doubt as to where you are tax resident, or if you have any questions on how to complete this section, we recommend you speak to your financial, tax or legal adviser.

Please complete the requested information even if you are only tax resident in the UK. If you don't, we may still have to provide details of this and the policy to HMRC.

Should any information provided change in the future, please let us know as soon as possible.

	First or sole applicant	Second applicant
Are you a Court Appointed Deputy for the applicant?	Yes ☐ Go to Section B No ☐	Yes ☐ Go to Section B No ☐
Are you resident for tax purposes anywhere other than (or in addition to) the UK?	Yes ☐ No ☐ Go to Section B	Yes ☐ No ☐ Go to Section B
Country/countries of tax residence		
Tax identification number (TIN)		
Reason for not providing TIN (if applicable)		

	Third applicant	Fourth applicant
Are you a Court Appointed Deputy for the applicant?	Yes ☐ Go to Section B No ☐	Yes ☐ Go to Section B No ☐
Are you resident for tax purposes anywhere other than (or in addition to) the UK?	Yes ☐ No ☐ Go to Section B	Yes ☐ No ☐ Go to Section B
Country/countries of tax residence		
Tax identification number (TIN)		
Reason for not providing TIN (if applicable)		

	Fifth applicant	Sixth applicant
Are you a Court Appointed Deputy for the applicant?	Yes ☐ Go to Section B No ☐	Yes ☐ Go to Section B No ☐
Are you resident for tax purposes anywhere other than (or in addition to) the UK?	Yes ☐ No ☐ Go to Section B	Yes ☐ No ☐ Go to Section B
Country/countries of tax residence		
Tax identification number (TIN)		
Reason for not providing TIN (if applicable)		

PART 1 APPLICANT DETAILS continued

B Contact details

This should be an applicant named in section A. If not, please say why in the space provided.

This is the address where we'll send correspondence, for example, all policy documentation and annual statements. If you don't complete this section, all correspondence will be sent to the first applicant.

	Phone Numbers
Name	Day
Address	Evening
	Other
Postcode	Email (optional)

Reason (if not an applicant named in section A)

PART 2 LIVES ASSURED

At least one life assured must be aged 89 or less.

Your additional investment will be set up using the same lives assured that are currently named on your Portfolio Bond. Please see your **Product Guide** for more information on lives assured.

PART 3 INVESTMENT DETAILS

A Investment

Additional investments must be whole £s only (minimum £5,000).

Please state your existing contract number(s)

Amount of additional investment £

Please make your cheque payable to: Legal & General

B Source of funds

Please provide details of the bank/building society account used to make your investment. (If your investment is being provided by a bank or building society cheque/banker's draft, please provide details of the personal account/other investment from which the money was drawn.)

Full account name

We need to know in case you change your mind and decide to cancel your investment.

Is this account able to accept direct credit payments? Yes ☐ No ☐

Name and full postal address (including country) of bank/building society branch/other source of funds

Name of bank/building society/other source of funds

Address

Postcode

Bank or building society account/roll number

Branch sort code

C Source of investment

What's the source of your investment? This section only applies to applicants funding the investment.
Tick all boxes that may apply.

	First or sole applicant	Second applicant	Third applicant	Fourth applicant	Fifth applicant	Sixth applicant
Accumulated savings from salary	☐	☐	☐	☐	☐	☐
Retirement fund	☐	☐	☐	☐	☐	☐
Inheritance	☐	☐	☐	☐	☐	☐
Sale of property	☐	☐	☐	☐	☐	☐
Equity release	☐	☐	☐	☐	☐	☐
Sale or maturity of previous investments	☐	☐	☐	☐	☐	☐
Divorce settlement	☐	☐	☐	☐	☐	☐
Other*	☐	☐	☐	☐	☐	☐

*If Other, please specify details in the **Notes/other instructions section** at the back of this form. If the investment is being provided by somebody other than an applicant, please explain the circumstances in the **Notes/other instructions** section.

PART 4 FUND SELECTION

Minimum total investment £5,000
Minimum £1,000 per fund.

Maximum of 50 funds.

If you require more than 20 funds please provide details in the **Notes/other instructions** section.

Please make sure you have read the information provided about your chosen fund(s) in your **Funds key features**.
If you don't understand any point, please ask for further information.

If your selection includes an absolute return fund, please ensure you read the **Absolute Return Funds Guide**.

Which fund(s) do you wish to invest in?

Please complete the percentage to be invested in each fund (maximum two decimal places). Please ensure that the total adds up to 100% and that a minimum of £1,000 is invested in each fund selected.

Fund – Name in full	Fund Code	% of your investment
		%
		%
		%
		%
		%
		%
		%
		%
		%
		%
		%
		%
		%
		%
		%
		%
		%
		%
		%
		%
	Total	**100%**

Please indicate here if you have added additional funds in the **Notes/other instructions** section at the end of this application form.

PART 5 REGULAR WITHDRAWAL OPTIONS

1. Do you want to take regular withdrawals from your additional investment?
   - No ☐ Go to Part 6
   - Yes ☐ Go to Question 2

2. Have you chosen to invest in any fund(s) other than the Legal & General Distribution Funds, the Legal & General High Income Fund and/or the Legal & General Property Fund?
   - No ☐ Go to Question 3
   - Yes ☐ Go to Section B below

3. Would you like to take natural income?
   - No ☐ Go to Section B below
   - Yes ☐ Go to Section A

A Natural income

The fund(s) selected in Part 4 can only be one of, or a combination of, Legal & General's Distribution Funds, High Income Fund and/or Property Fund.

Natural income is only available from one, or a combination of, Legal & General's Distribution Funds, High Income Fund and/or Property Fund.

If more than one of these funds is selected, natural income must be taken from all the funds.

I/We would like payments to be made:

Twice a year ☐ in June and December

Once a month ☐ on or shortly before the 14th

The date of the first payment is determined by the date we complete the processing of your bond. Distributions are made in June and December. Units must be purchased at least five working days prior to 30 May or 30 November to get the next distribution. Payments start on the 14th of the following month.

B Regular withdrawals from any funds

Only one type of regular withdrawal can apply at any one time.

Minimum payments:

- £20 each month or
- £50 if another frequency.

I/We would like to withdraw (Complete ONE box only):

[%] Each year of the additional investment **Maximum of 7.5% each year of the amount invested**

OR

[£] Total each year

OR

[%] Each year of the additional investment's value of units **Maximum of 7.5% each year of the value of units**

I/We would like payments to be made:

Once a month ☐ Once every three months ☐ Once every six months ☐ Once a year ☐

Starting on ☐☐ ☐☐ ☐☐☐☐ When choosing your start date, please allow a week for us to process your application plus at least one of your chosen payment periods.

For example, if you complete this application on the 1st of the month, you should give us until the 8th to complete the processing of it. If you require monthly payments the earliest start date would be the 8th of the following month.

C Payment details

Payments must be made direct to a bank or building society account. Please ensure that the account is able to receive direct credit payments.

Name and full postal address of bank/building society branch

Name of bank/building society

Address

Postcode

Name(s) of account holder(s)

Bank or building society account number

Building society roll number (if applicable)

Branch sort code

☐☐ ☐☐ ☐☐

PART 6 DECLARATION

This part must be completed. It must be read, signed and dated by all the applicants stated in Part 1.

I/We declare that the information given in this application is to the best of my/our knowledge and belief, full and accurate.

I/We understand that the terms and conditions relating to my/our additional investment will be contained in the **Product Guide** and **Schedule**, which Legal & General will send to me/us on completion of processing the additional investment.

I/We understand that the information in this application shall be the basis of the additional investment into existing policies.

I/We acknowledge that Legal & General Assurance Society Limited has not given any advice or a personal recommendation as part of this investment.

I/We declare that none of the applicants, trustees or trusts named in this application are US persons. For the purposes of this application, US person is defined as:

- Any natural person that is resident in the United States or a citizen of the United States;
- Any partnership or corporation organised or incorporated under the laws of the United States;
- Any estate of which any executor or administrator is a US person;
- Any trust of which any trustee is a US person;
- Any agency or branch of a foreign entity located in the United States;
- Any non-discretionary account or similar account (other than an estate or trust) held by a dealer or other fiduciary for the benefit or account of a US person;
- Any discretionary account or similar account (other than an estate or trust) held by a dealer or other fiduciary organised, incorporated, or (if an individual) resident in the United States;
- Any partnership or corporation if organised or incorporated under the laws of any non-US jurisdiction; and formed by a US person principally for the purpose of investing in securities not registered under the United States Securities Act 1933, unless it is organised or incorporated, and owned by accredited investors who are not natural persons, estates or trusts.

IMPORTANT PLEASE READ: Data Protection

Use of your information:

Legal & General takes your privacy very seriously. We (Legal & General) use the personal information collected via this form and any other information that you provide to us ('your information') for the purposes of:

1. Providing you with our products and services and dealing with your enquiries and requests;
2. Underwriting and administering your policy including processing claims;
3. Carrying out market research, statistical analysis and customer profiling; and
4. Sending you marketing information (by post, telephone, email and SMS) about products and services of companies in the Legal & General group and of third parties whose products and services we offer to our customers. We do not share information with third parties for marketing purposes.

By signing on page 11, you agree to receive the information as described in 4 above, unless you tell us otherwise by ticking this box ☐

Given the global nature of our business, we may need to transfer your information to countries outside the European Economic Area in order to provide our services to you.

Disclosures:

We will disclose your information to other companies within the Legal & General group of companies, regulatory bodies, law enforcement agencies, future owners of our business, suppliers we engage to process data on our behalf and when necessary, to a reassurer.

If you make a claim, we will share your information (where necessary) with other insurance companies to prevent fraudulent claims.

Legal & General will check your details with fraud prevention agencies. If false or inaccurate information is provided and fraud is identified details will be passed to fraud prevention agencies. Law enforcement agencies may access and use this information.

Legal & General and other organisations may also access and use this information to prevent fraud and money laundering, for example, when:

- Checking details on applications for credit and credit related or other facilities;
- Managing credit and credit related accounts or facilities;
- Recovering debt;
- Checking details on proposals and claims for all types of insurance;
- Checking details of job applicants and employees.

Legal & General and other organisations may access and use from other countries the information recorded by fraud prevention agencies.

You can contact Legal & General at: Group Financial Crime, Legal & General Assurance Society Limited, City Park, The Droveway, Hove, East Sussex, BN3 7PY if you want to receive details of the relevant fraud prevention agencies.

If you have been dealing with a financial adviser, we will give them information about your product and, where appropriate, provide them with other information about your dealings with us to enable them to give you informed advice.

Where you have been introduced to us by a bank or a building society, we will share your information with them to enable them to:

(a) carry out market research, statistical analysis and customer profiling; and

(b) send you marketing information about their products and services and products and services of companies in the Legal & General group and of third parties whose products and services we offer to our customers.

By signing below, you agree to receive the information as described in (b) above by post or telephone, unless you tell us otherwise by writing to TPM Opt Out, Legal & General Assurance Society, Legal & General House, St Monica's Road, Kingswood, Tadworth, Surrey KT20 6EU.

Access:

You have the right to ask for a copy of your information in return for payment of a small fee. To obtain a copy of your information, please write to Legal & General at the address shown on your most recent customer correspondence.

I/We (the applicants) agree to the use of my/our information as set out above.

I/We understand that a copy of this application form and the terms and conditions are available on request.

For your own benefit and protection you should read this declaration and the Key Features, Funds key features and Illustration for the Portfolio Bond (and if investing in an absolute return fund, the Absolute Return funds guide) carefully before signing this declaration. These documents summarise the basis of the agreement between us. If you don't understand any point, please ask for further information.

First or sole applicant	Second applicant
Signature	Signature
Date ☐☐☐☐☐☐☐☐ (DD/MM/YYYY)	Date ☐☐☐☐☐☐☐☐ (DD/MM/YYYY)
Third applicant	**Fourth applicant**
Signature	Signature
Date ☐☐☐☐☐☐☐☐ (DD/MM/YYYY)	Date ☐☐☐☐☐☐☐☐ (DD/MM/YYYY)
Fifth applicant	**Sixth applicant**
Signature	Signature
Date ☐☐☐☐☐☐☐☐ (DD/MM/YYYY)	Date ☐☐☐☐☐☐☐☐ (DD/MM/YYYY)

The application is not valid without all signature(s) and date(s).

PART 7 FOR ADVISER USE ONLY

Please note that Legal & General will only accept instructions from applicants who have had their identity verified.

A Confirmation of verification of identity

Each party must provide evidence of their identity and can no longer be evidenced by a Confirmation of Verification of Identity Certificate.

Please send us two items of identity for each applicant, one item as proof of name, and the second as proof of address. We recommend that you send us photocopies of anything that cannot be replaced or would cost money to replace and we will return any original documents by recorded delivery. Please check the expiry dates on all documents to make sure they're still valid.

Please see legalandgeneral.com/adviser for our **Confirming your Identity Leaflet** (Q36989) containing a list of acceptable documentation.

B Adviser details

Full name of firm (if different from Part 1):

Principal's Financial Services Register firm reference number:

Appointed representative's Financial Services Register firm reference number (if applicable):

Financial Services Register individual reference number:

Legal & General agency number:

Name of representative (if different from Part 1):

To meet Financial Conduct Authority Regulatory Reporting Requirements, Legal & General must record whether advice was given to your client regarding the sale of this additional investment. Please tick the relevant box below:

Was advice given? Yes ☐ No ☐

C Checklist

- ☐ Has the applicant read all the literature relating to the Portfolio Bond, including the **Key Features** and the **Funds key features?**
- ☐ Have all appropriate parts of this application form been completed?
- ☐ Has the **Declaration** in Part 6 been signed and dated by all applicants?
- ☐ Have any alterations been initialled by the applicant(s)?
- ☐ Are the identity documents for all applicants attached?
- ☐ Is a cheque attached and made payable to Legal & General?

NOTES/OTHER INSTRUCTIONS

legalandgeneral.com

Legal & General Assurance Society Limited
Registered in England and Wales No. 00166055
Registered office: One Coleman Street, London EC2R 5AA

Authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority.

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