

UNIT TRUST, OEIC AND ISA APPLICATION FORM.

TAX YEAR 2011/2012

Use this form to make an investment or add to your existing investment.

FOR OFFICE USE ONLY

Legal & General agency number

Basis of advice declaration

To meet Financial Services Authority regulatory reporting requirements, we must now record whether advice was given to your client regarding the sale of this product. Please tick the relevant box. Was advice given?

Yes No

Please complete in **BLOCK CAPITALS** using black ink and return to: Investor Portfolio Service, Legal & General, PO Box 1112, Chelmsford CM99 2UX

You should read the Key Features and Terms and Conditions carefully before applying. They can be downloaded from the Nationwide website. You should store them on your PC or print them for future reference. If you don't understand any point please ask for further information. The Terms and Conditions form the basis of our agreement with you.

SECTION 1. Personal details – complete this section in full

Part 1.1 First applicant

Mr/Mrs/Ms/Miss/Other

Surname

Forename(s) in full

Address

We need your permanent residential address including the postcode, we can't accept a c/o address.

If you've lived at this address for less than three months, please provide your previous address:

Contact telephone number

Email address

Tick if you're already a customer of Investor Portfolio Service

If yes, what's your IPS customer reference

Date of birth

Please tick Male Female

Do you have a National Insurance number? Yes No

If Yes, please provide your National Insurance number

Account holding designation[†]

[†]Complete if you wish to add a personal reference to your investment (UT and OEIC only). If you're paying for this investment from money held in your IPS Cash Account (available from 7 November 2011), please ensure that this designation is identical to that of the cash account.

Legal & General takes your privacy very seriously. See Section 7 – Data Protection for more about how we use your personal information and contact details, including your email address.

SECTION 1. Personal details – complete this section in full – continued

Part 1.2 Joint holders (not available for ISA investments)

<p>Mr/Mrs/Ms/Miss/Other</p> <p>Surname</p> <p>Forename(s) in full</p> <p>Address</p> <p>We need your permanent residential address including the postcode, we can't accept a c/o address.</p> <p>Postcode</p> <p>If you've lived at this address for less than three months, please provide your previous address:</p> <p>Postcode</p>	<p>Contact telephone number</p> <p>Tick if you're already a customer of Investor Portfolio Service</p> <p>Existing IPS customer reference</p> <p>Date of birth</p> <p>Please tick Male Female</p> <p>Do you have a National Insurance number? Yes No</p> <p>If Yes, please provide your National Insurance number</p> <p>Email address</p>
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If you are investing jointly, we will need all investors to sign for all future transactions, including changes to income payments.

If more than two applicants, tick here and give full details of the additional applicant(s) in Section 6 – Joint holders.

SECTION 2. Paying for your investment (lump sum payments only)

I am paying for my investment by:
(tick one)

Cheque (enclosed)

or

Money held in my IPS Cash Account (feature available from 7 November 2011)

For investment by monthly Direct Debit, please complete 'Investment by Direct Debit for monthly saver', Section 3.2 below.

SECTION 3. Investment selection

See the General Key Features for the minimum amounts for lump sum and monthly investments.

Make your cheque for the total amount payable to Legal & General and include your name on the payee line (for example, Legal & General – J Smith).

Choosing income (INC) or accumulation (ACC) units/shares

If you don't select a unit/share type, your investment will be made into accumulation units/shares where available.

Making a monthly investment

If you'd like to make monthly payments, please also complete 'Investment by Direct Debit for monthly savers' Section 3.2 below.

Part 3.1 Fund selection – ISA and unit trust/OEIC

Fund management company/Fund name	ISA			Unit trusts and OEICs		
	Select unit/ share type (delete as appropriate)	Lump sum	Monthly	Select unit/ share type (delete as appropriate)	Lump sum	Monthly
BlackRock UK Income Fund	ACC / INC	£	£	ACC / INC	£	£
First State Global Emerging Market Leaders A Fund	ACC only	£	£	ACC only	£	£
First State Global Resources Fund	ACC only	£	£	ACC only	£	£
Invesco Perpetual Income Fund	ACC / INC	£	£	ACC / INC	£	£
Jupiter Merlin Growth Portfolio	ACC / INC	£	£	ACC / INC	£	£

SECTION 3. Investment selection – continued

Part 3.1 Fund selection – ISA and unit trust/OEIC – continued

Fund management company/Fund name	ISA			Unit trusts and OEICs		
	Select unit/ share type (delete as appropriate)	Lump sum	Monthly	Select unit/ share type (delete as appropriate)	Lump sum	Monthly
Jupiter Merlin Income Portfolio	ACC / INC	£	£	ACC / INC	£	£
L&G (N) High Income Trust R	ACC / INC	£	£	ACC / INC	£	£
L&G (N) Target Return Trust R	ACC only	£	£	ACC only	£	£
L&G (N) Tracker Trust	ACC only	£	£	ACC only	£	£
Legal & General Cash R Trust	N/A	N/A	N/A	ACC only	£	£
M&G High Yield Corporate Bond A Fund	ACC / INC	£	£	ACC / INC	£	£
M&G Property Portfolio	ACC / INC	£	£	ACC / INC	£	£
M&G Recovery A Fund	ACC / INC	£	£	ACC / INC	£	£
M&G Strategic Corporate Bond A Fund	ACC / INC	£	£	ACC / INC	£	£
Neptune Balanced Fund	ACC only	£	£	ACC only	£	£
Newton Balanced Fund	INC only	£	£	INC only	£	£
Newton Global Higher Income Fund	INC only	£	£	INC only	£	£
Schroder Monthly High Income A Fund	ACC / INC	£	£	ACC / INC	£	£
Schroder UK Alpha Plus A Fund	ACC / INC	£	£	ACC / INC	£	£
Threadneedle Absolute Return Bond Fund	ACC only	£	£	ACC only	£	£
Threadneedle Global Select 1 Fund	ACC only	£	£	ACC only	£	£
Cash Reserve	ACC only	£	N/A	N/A	N/A	N/A
Total investment amount		£	£		£	£

Part 3.2 Investment by Direct Debit for monthly savers

If you're making monthly payments, please fill in the Direct Debit instruction below. Please tell us the date you'd like your Direct Debit collected each month (any day from 1st to 28th). When this doesn't fall on a business day, we'll collect your Direct Debit on the following business day. If you don't choose a preferred day, we'll collect your Direct Debit on the 8th of each month.

Preferred collection date each month (please choose 1st to 28th).

INSTRUCTION TO YOUR BANK OR BUILDING SOCIETY – TO PAY BY DIRECT DEBIT

Name and full postal address of your bank/building society

Name(s) of account holder(s)

Originator's Identification Number

Bank/building society account number

Branch sort code

 - -

Signature

Signature (Joint holder)

Date



Instruction to your bank/building society

Please pay Legal & General Direct Debits from the account detailed in this instruction subject to the safeguards assured by the Direct Debit Guarantee. We understand that this instruction may remain with Legal & General and, if so, details will be passed electronically to our bank/building society. Banks and building societies may not accept Direct Debit instructions for some types of account. Please see the General Key Features for your Direct Debit Guarantee.

SECTION 4. Income options

Complete this section if you've chosen income units/shares (INC) in section 3.1.

The option you choose will be applied to all income units/shares in your Account.

Tick one:

- Receive the income.** Any income will be paid to you. From 7 November 2011, the process will change and income received from your investments in INC units/shares will be paid into your IPS cash account and then paid to your nominated bank account on the next monthly payment date.
- Reinvest into the fund.** Income received from your investments in INC units/shares will be used to buy more units/shares in the fund.
- Hold in my IPS Cash Account** (available from 7 November 2011). Income received from your investments in INC units/shares will be paid into your IPS Cash Account for you to withdraw or invest in future.
- If you don't tick one of these boxes, we'll select the 'Reinvest into the fund' option by default.

SECTION 5. Nominated bank account

You need to provide the details of your bank/building society account so we can make any payments by BACS. This is a quick and secure method of transferring money.

If you've selected income units and have chosen to have the income paid to you, we'll pay any income to this account. Your income will be re-invested if you don't supply your bank/building society details.

We may use these details to pay you the proceeds of any future withdrawals.

Please see the General Key Features for more information about the nominated bank account.

Complete this section if you've not given us nominated bank account details. If you're an existing customer, only complete this section if you want to change your nominated bank account. Changes to your nominated bank account will not affect the account used for monthly contributions.

Name and full postal address of your bank/building society

Postcode

Name(s) of account holder(s)

Bank/building society account number

Branch sort code

Roll number (if applicable)

This account must be held, or jointly held, by the named applicant(s). We can't make payments to a third party. If any of these details change in the future, please write and tell us.

SECTION 6. Joint holders (not available for ISA investments)

Complete this section if there are more than two joint holders.

Mr/Mrs/Ms/Miss/Other

Surname

Forename(s) in full

Address

We need your permanent residential address including the postcode, we can't accept a c/o address.

Postcode

If you've lived at this address for less than three months, please provide your previous address:

Postcode

Contact telephone number

Date of birth

Please tick

Male

Female

Do you have a National Insurance number?

Yes

No

If Yes, please provide your National Insurance number

Tick if you're already a customer of Investor Portfolio Service

Existing IPS customer reference

Email address

SECTION 6. Joint holders (not available for ISA investments) – continued

Mr/Mrs/Ms/Miss/Other	<input type="text"/>	Contact telephone number	<input type="text"/>
Surname	<input type="text"/>	Date of birth	<input type="text"/>
Forename(s) in full	<input type="text"/>	<input type="text"/>	<input type="text"/>
Address	<input type="text"/>	Please tick	Male <input type="checkbox"/> Female <input type="checkbox"/>
We need your permanent residential address including the postcode, we can't accept a c/o address.	<input type="text"/>	Do you have a National Insurance number?	Yes <input type="checkbox"/> No <input type="checkbox"/>
	<input type="text"/>	If Yes, please provide your National Insurance number	<input type="text"/>
Postcode	<input type="text"/>	Tick if you're already a customer of Investor Portfolio Service	<input type="checkbox"/>
	<input type="text"/>	Existing IPS customer reference	<input type="text"/>
If you've lived at this address for less than three months, please provide your previous address:	<input type="text"/>	Email address	<input type="text"/>
	<input type="text"/>		
	<input type="text"/>		
Postcode	<input type="text"/>		

SECTION 7. Declaration and authority

FOR ALL ISA APPLICANTS

I apply to subscribe for a Legal & General stocks and shares ISA for the tax year 2011/2012 and each subsequent tax year unless I instruct you otherwise.

I declare that:

- all subscriptions made, and to be made, belong to me.
- I am 18 years of age or over.
- I have not subscribed, and will not subscribe, more than the overall subscription limit in total to a cash ISA and a stocks and shares ISA in the same tax year.
- I have not subscribed, and will not subscribe, to another stocks and shares ISA in the same tax year that I subscribe to this stocks and shares ISA.
- I am resident and ordinarily resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of the Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a registered civil partnership with a person who performs such duties. I will inform Legal & General if I cease to be so resident and ordinarily resident or to perform such duties or to be married to, or in a registered civil partnership with a person who performs such duties.

Please note: Details about residence and being ordinarily resident are available from your tax office or on HM Revenue & Customs' website.

I authorise Legal & General:

- to hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash; and
- to make on my behalf any claims for tax relief in respect of ISA investments.

FOR ALL UNIT TRUST AND OEIC APPLICANTS

I declare that:

- I am 18 years of age or over;
- I am not a resident of the US.

FOR ALL APPLICANTS

I declare that:

the information given in this application is true and correct to the best of my knowledge and belief and I will inform Legal & General (Portfolio Management Services) Limited without delay of any change in my circumstances affecting any of the information in this form.

IMPORTANT PLEASE READ: DATA PROTECTION

Use of your information by Legal & General: We take your privacy very seriously. We use the personal information collected via this form and any other information that you provide to us ('your information') for the purposes of:

1. Providing you with our products and services and dealing with your enquiries and requests;
2. Administering your investment;
3. Carrying out market research, statistical analysis and customer profiling; and
4. Sending you marketing information (by post, telephone, email and SMS) about products and services of companies in the Legal & General group and of third parties whose products and services Legal & General offers to its customers.

By signing below, you agree to receive the information as described in 4 above, unless you tell us otherwise by ticking this box .

Given the global nature of our business, we may need to transfer your information to countries outside the European Economic Area in order to provide our services to you.

Disclosures: We will disclose your information to other companies within the Legal & General group of companies, regulatory bodies, law enforcement agencies, future owners of our business and suppliers we engage to process data on our behalf.

If you have been dealing with a financial adviser, we will give them information about your product and, where appropriate, provide them with other information about your dealings with us to enable them to give you informed advice.

Where you have been introduced to us by a bank or a building society, we will share your information with them to enable them to:

- (a) carry out market research, statistical analysis and customer profiling; and
- (b) send you marketing information about their products and services and products and services of companies in the Legal & General group and of third parties whose products and services Legal & General offers to its customers.

By signing below, you agree to receive the information as described in (b) above by post or telephone, unless you tell us otherwise by writing to Legal & General, PO Box 1112, Chelmsford CM99 2UX.

Access: You have the right to ask for a copy of your information in return for payment of a small fee. To obtain a copy of your information, please write to us at the above address.

PLEASE READ AND SIGN ON THE NEXT PAGE

APPLICANT OR LEGAL GUARDIAN SIGNATURE(S)

I agree to the use of my information as set out above.

I understand that a copy of the completed application form and/or Terms and Conditions is available on request.

I have read the Declaration (and ISA Declaration where relevant) carefully.

I agree that the Terms and Conditions form the basis of my agreement with Legal & General.

First applicant	<input type="text"/>	Date	<input type="text"/>
First joint applicant*	<input type="text"/>	Date	<input type="text"/>
Second joint applicant*	<input type="text"/>	Date	<input type="text"/>
Third joint applicant*	<input type="text"/>	Date	<input type="text"/>

*Where applicable. Unit trust/OEIC only.



Please send your completed application to your financial adviser, or to:
Investor Portfolio Service, Legal & General, PO Box 1112, Chelmsford CM99 2UX

As a registered user of our dedicated Investor Portfolio Service (IPS) website, you can have access to a range of features online as well.

Online access is secure, and allows you to:

- view your IPS portfolio valuation at any time;
- access quick and print friendly valuations across your entire IPS portfolio;
- see your IPS historical transactions, statements and correspondence from us;
- top-up and switch between funds in existing Portfolio Investments (your ISAs, unit trusts and OEICs);
- buy new Portfolio Investments;

all through one easy to use online service!



nationwide.co.uk/ips

Legal & General (Portfolio Management Service) Limited
Registered in England No.2457525
Registered office: One Coleman Street, London EC2R 5AA

We are authorised and regulated by the Financial Services Authority.

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