

# ADDITIONAL INVESTMENT APPLICATION FORM.

## BEFORE YOU START

Once you've read all of the product literature at <http://www.nationwide.co.uk/investments/isa-unit-trust/existinginvestors/manage-your-investment.htm> and decided which funds you would like to include in your Portfolio Bond, you're ready to invest. Simply complete this application form and submit it to the address below, along with your cheque and supporting documentation. All lives assured and applicants must be the same as the existing contract. You must invest in one or more of the funds you chose on your initial investment.

## IF YOU HAVE ANY QUESTIONS

Remember, if you're unsure about anything, now is the time to ask your adviser or we'll be happy to help.

## HOW TO COMPLETE YOUR APPLICATION

- This application is for additional investments into existing Portfolio Bonds only. If you do not have an existing Portfolio Bond, please contact a Senior Financial Adviser.
- Please use BLOCK CAPITALS in all of your answers.
- To help you, we've included useful hints and tips in the left-hand column.
- If you make a mistake, correct the error by crossing out (do not use correction fluid) and initialling the change.
- You can use the checklist at the end of this application to make sure you have included all of the items you need to, including your cheque.
- You can find an explanation of all terms, benefits and options within this application form in our **Key Features** or **Product Guide** which you can view at <http://www.nationwide.co.uk/investments/isa-unit-trust/existinginvestors/manage-your-investment.htm>
- This application form includes a number of questions that are designed to protect you and us from financial crime.
- Remember, you should read all of the literature at <http://www.nationwide.co.uk/investments/isa-unit-trust/existinginvestors/manage-your-investment.htm> about the Legal & General Portfolio Bond including the **Key Features** and **Funds key features** before you proceed.
- Please make sure you sign and date the **Declaration** in Part 6.
- Please submit this additional investment application to the address below:

Legal & General Group Plc  
The Investor Portfolio Service  
PO Box 1112  
Chelmsford  
CM99 2UX

**PART 1 Applicant details**

Agency Number

|   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|
| 5 | 8 | 0 | 6 | 3 | 2 | 8 |
|---|---|---|---|---|---|---|

All applicants must complete sections A and B

By completing this application you are confirming you have not sought or received advice regarding the sale of this product.

**A Applicant(s)**

The applicant is the person, or persons, who is/are investing the money in the bond. They own the bond. We refer to them as the 'policyholder(s)' in everything we send them after the bond is set up.

All applicants must be aged 18 or over  
All applicants must sign and date the Declaration in Part 6.

|  | First or sole applicant   | Second applicant  |
|--|---|---|
| Mr/Mrs/Miss Ms/Other   | <input type="text"/>  | <input type="text"/>  |
| Surname  | <input type="text"/>  | <input type="text"/>  |
| Forename(s) (in full)  | <input type="text"/>  | <input type="text"/>  |
| Date of birth  | <input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> | <input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> |
| Main residential address (including postcode and country)                  | <input type="text"/><br><input type="text"/><br><input type="text"/>  | <input type="text"/><br><input type="text"/><br><input type="text"/>  |
| Postcode   | <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>   | <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>   |
|  | Country <input type="text"/>  | Country <input type="text"/>  |
|  | Phone number <input type="text"/>   | Phone number <input type="text"/>   |
| Previous address (if resident at above address for less than three months) | <input type="text"/><br><input type="text"/><br><input type="text"/>  | <input type="text"/><br><input type="text"/><br><input type="text"/>  |
| Postcode   | <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>   | <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>   |
|  | Country <input type="text"/>  | Country <input type="text"/>  |

Only applicants who are providing the money for investment into the bond should complete the following occupation details.

|                           | First or sole applicant   | Second applicant                             |                          |                   |                          |                   |                          |                   |                          |                     |                          |           |                          |   |              |                          |                   |                          |                   |                          |                   |                          |                     |                          |           |                          |
|---------------------------|---|--|--------------------------|-------------------|--------------------------|-------------------|--------------------------|-------------------|--------------------------|---------------------|--------------------------|-----------|--------------------------|---|--------------|--------------------------|-------------------|--------------------------|-------------------|--------------------------|-------------------|--------------------------|---------------------|--------------------------|-----------|--------------------------|
| Occupation                | <input type="text"/><br><input type="text"/>  | <input type="text"/><br><input type="text"/> |                          |                   |                          |                   |                          |                   |                          |                     |                          |           |                          |   |              |                          |                   |                          |                   |                          |                   |                          |                     |                          |           |                          |
| Annual salary/Income band | <table border="0"> <tr> <td>£0 – £14,999</td> <td><input type="checkbox"/></td> <td>£15,000 – £29,999</td> <td><input type="checkbox"/></td> </tr> <tr> <td>£30,000 – £59,999</td> <td><input type="checkbox"/></td> <td>£60,000 – £99,999</td> <td><input type="checkbox"/></td> </tr> <tr> <td>£100,000 – £149,999</td> <td><input type="checkbox"/></td> <td>£150,000+</td> <td><input type="checkbox"/></td> </tr> </table> | £0 – £14,999                                 | <input type="checkbox"/> | £15,000 – £29,999 | <input type="checkbox"/> | £30,000 – £59,999 | <input type="checkbox"/> | £60,000 – £99,999 | <input type="checkbox"/> | £100,000 – £149,999 | <input type="checkbox"/> | £150,000+ | <input type="checkbox"/> | <table border="0"> <tr> <td>£0 – £14,999</td> <td><input type="checkbox"/></td> <td>£15,000 – £29,999</td> <td><input type="checkbox"/></td> </tr> <tr> <td>£30,000 – £59,999</td> <td><input type="checkbox"/></td> <td>£60,000 – £99,999</td> <td><input type="checkbox"/></td> </tr> <tr> <td>£100,000 – £149,999</td> <td><input type="checkbox"/></td> <td>£150,000+</td> <td><input type="checkbox"/></td> </tr> </table> | £0 – £14,999 | <input type="checkbox"/> | £15,000 – £29,999 | <input type="checkbox"/> | £30,000 – £59,999 | <input type="checkbox"/> | £60,000 – £99,999 | <input type="checkbox"/> | £100,000 – £149,999 | <input type="checkbox"/> | £150,000+ | <input type="checkbox"/> |
| £0 – £14,999              | <input type="checkbox"/>  | £15,000 – £29,999                            | <input type="checkbox"/> |                   |                          |                   |                          |                   |                          |                     |                          |           |                          |   |              |                          |                   |                          |                   |                          |                   |                          |                     |                          |           |                          |
| £30,000 – £59,999         | <input type="checkbox"/>  | £60,000 – £99,999                            | <input type="checkbox"/> |                   |                          |                   |                          |                   |                          |                     |                          |           |                          |   |              |                          |                   |                          |                   |                          |                   |                          |                     |                          |           |                          |
| £100,000 – £149,999       | <input type="checkbox"/>  | £150,000+                                    | <input type="checkbox"/> |                   |                          |                   |                          |                   |                          |                     |                          |           |                          |   |              |                          |                   |                          |                   |                          |                   |                          |                     |                          |           |                          |
| £0 – £14,999              | <input type="checkbox"/>  | £15,000 – £29,999                            | <input type="checkbox"/> |                   |                          |                   |                          |                   |                          |                     |                          |           |                          |   |              |                          |                   |                          |                   |                          |                   |                          |                     |                          |           |                          |
| £30,000 – £59,999         | <input type="checkbox"/>  | £60,000 – £99,999                            | <input type="checkbox"/> |                   |                          |                   |                          |                   |                          |                     |                          |           |                          |   |              |                          |                   |                          |                   |                          |                   |                          |                     |                          |           |                          |
| £100,000 – £149,999       | <input type="checkbox"/>  | £150,000+                                    | <input type="checkbox"/> |                   |                          |                   |                          |                   |                          |                     |                          |           |                          |   |              |                          |                   |                          |                   |                          |                   |                          |                     |                          |           |                          |

To protect you and us from financial crime, we may need to confirm your identity from time to time. We may do this by using reference agencies to search sources of information about you (an identity search). This will not affect your credit rating. If this search fails, we may ask you for documents to confirm your identity.



**PART 3 Investment details**

**A Investment**

Please state your existing contract number(s)

Amount of investment  £

Please make your cheque payable to: Legal & General Assurance Society Limited

**B Source of funds**

Please provide details of the bank/building society account used to make your investment (if your investment is being provided by a bank or building society cheque/banker's draft, please provide details of the personal account/other investment from which the money was drawn).

Full account name

Is this account able to accept direct credit payments? Yes  No

Name and full postal address (including country) of bank/building society branch/other source of funds

Name of bank/building society/other source of funds

Address

Postcode

Bank or building society account/roll number  Branch sort code   -   -

**C Source of investment**

What's the source of your investment? This section only applies to applicants funding the investment. Tick all boxes which may apply.

|  | First or sole applicant  | Second applicant         |
|--|--------------------------|--------------------------|
| Accumulated savings from salary          | <input type="checkbox"/> | <input type="checkbox"/> |
| Retirement fund                          | <input type="checkbox"/> | <input type="checkbox"/> |
| Inheritance                              | <input type="checkbox"/> | <input type="checkbox"/> |
| Sale of property                         | <input type="checkbox"/> | <input type="checkbox"/> |
| Sale or maturity of previous investments | <input type="checkbox"/> | <input type="checkbox"/> |
| Divorce settlement                       | <input type="checkbox"/> | <input type="checkbox"/> |
| Other*                                   | <input type="checkbox"/> | <input type="checkbox"/> |

\*If Other, please specify details in the **Notes/other instructions** section. If the investment is being provided by somebody other than an applicant, please explain the circumstances in the **Notes/other instructions** section.

Additional investments must be whole £s only (minimum £5,000).

We need to know this in case you change your mind and decide to cancel your investment

**PART 4 Fund selection**

Minimum total investment £5,000  
Minimum £1,000 per fund.

Please make sure you have read the information provided about your chosen fund(s) in your **Funds key features**. If you don't understand any point, please ask for further information.

You must invest in one or more of the funds you chose on your initial investment.

**Which fund(s) do you wish to invest in?**

Please complete the percentage to be invested in each fund (maximum two decimal places). Please ensure that the total adds up to 100% and that a minimum of £1,000 is invested in each fund selected.

| Fund – Name in full  | Fund Code | % of your investment |
|--|-----------|----------------------|
| Legal & General Cash Fund  | DABU      | %                    |
| Legal & General (N) Balanced Fund*                               | DPSU      | %                    |
| Legal & General Ethical Fund*                                    | DBPU      | %                    |
| Legal & General (N) High Income Fund                             | DPTU      | %                    |
| Legal & General Multi Manager Growth Fund*                       | 0604      | %                    |
| Legal & General Multi Manager Income Fund*                       | 0605      | %                    |
| Legal & General Property Fund                                    | DAEU      | %                    |
| Legal & General (N) Target Return Fund                           | DPUU      | %                    |
| Legal & General UK Equity Index Fund                             | DFDU      | %                    |
| Legal & General (N) UK Growth Fund                               | DPVU      | %                    |
| Legal & General First State Global Emerging Markets Leaders Fund | 0010      | %                    |
| Legal & General Invesco Perpetual Income Fund                    | 0015      | %                    |
| Legal & General Jupiter Merlin Growth Portfolio Fund             | 0021      | %                    |
| Legal & General Jupiter Merlin Income Portfolio Fund             | 0022      | %                    |
| Legal & General M&G High Yield Corporate Bond Fund               | 0024      | %                    |
| Legal & General M&G Recovery Fund                                | 0025      | %                    |
| Legal & General M&G Strategic Corporate Bond Fund                | 0026      | %                    |
| Legal & General Neptune Balanced Fund                            | 0183      | %                    |
| Legal & General Newton Global Higher Income Fund                 | 0507      | %                    |
| Legal & General Schroder UK Alpha Plus Fund                      | 0031      | %                    |
| Legal & General Threadneedle Global Select Fund                  | 0003      | %                    |
| <b>Total</b>   |           | <b>100%</b>          |

\*only available if your original investment was taken out before 8th April 2010.

**PART 5 Regular withdrawal options**

Do you want to take regular withdrawals from your bond?

No  Go to Part 6

Yes  Go to Section A below

Only one type of regular withdrawal can apply at any one time.

Minimum payments:

- £20 per month or
- £50 if another frequency.

If 'Total each year' is selected, it may not be possible to provide the exact amount requested if payments are chosen other than once a year.

**A Regular withdrawals from any funds**

I/We would like to withdraw (Complete ONE box only):

% Each year of the amount invested

OR

£  Total each year

**Maximum of 5% each year of the amount invested**

OR

% Each year of the value of units

I/We would like payments to be made:

Once a month  Once every three months  Once every six months  Once a year

Starting on         When choosing your start date, please allow a week for us to process your application plus at least one of your chosen payment periods.

For example, if you complete this application on the 1st of the month, you should give us until the 8th to complete the processing of it. If you require monthly payments the earliest start date would be the 8th of the following month.

**B Payment details**

Payments must be made direct to a bank or building society account. Please ensure that the account is able to receive direct credit payments.

Name and full postal address of bank/building society branch

Name of bank/building society

Address

Postcode

Name(s) of account holder(s)

Bank or building society account number

Building society roll number (if applicable)

Branch sort code

-   -

**PART 6 Declaration**

**This section must be completed. It must be read, signed and dated by all the applicants in Part 1.**

I/We declare that the information given in this application is to the best of my/our knowledge and belief, true and complete.

I/We understand that my/our policies will be governed by the Product Guide, of which a copy is available on request from my/our adviser or Legal & General. Legal & General will send this to me/us when the Portfolio Bond has started. A copy of my/our completed application form is also available on request.

I/We request that the policies are issued in my/our name(s) subject to the Product Guide, and understand that the information in this application shall be the basis of the policy. I/We further understand that all benefits payable under the policies will be payable to the person(s) named in this application.

**IMPORTANT INFORMATION PLEASE READ: Data Protection**

**Use of personal information:**

Legal & General takes customer privacy very seriously. Legal & General uses the personal information collected via this form and any other information that I/we provide to Legal & General ("my/our information") for the purposes of:

1. Providing me/us with Legal & General products and services and dealing with my/our enquiries and requests;
2. Administering my/our investment;
3. Carrying out market research, statistical analysis and customer profiling; and
4. Sending me/us marketing information (by post, telephone, email and SMS) about products and services of companies in the Legal & General group and of third parties whose products and services Legal & General offers to its customers.

By signing below, I/we agree to receive the information as described in 4 above, unless I/we indicate otherwise by ticking this box.

Given the global nature of Legal & General's business, it may be necessary to transfer my/our information to countries outside the European Economic Area in order to provide Legal & General's service to me/us.

**Disclosures:**

Legal & General will disclose my/our information to other companies within the Legal & General group of companies, regulatory bodies, law enforcement agencies, future owners of Legal & General's business, suppliers engaged by Legal & General to process data on its behalf.

Legal & General will check my/our details with fraud prevention agencies. If false or inaccurate information is provided and fraud is identified, details will be passed to fraud prevention agencies. Law enforcement agencies may access and use this information.

Legal & General and other organisations may also access and use this information to prevent fraud and money laundering, for example, when:

- Checking details on applications for credit and credit related or other facilities;
- Managing credit and credit related accounts or facilities;
- Recovering debt;
- Checking details on proposals and claims for all types of insurance;
- Checking details of job applicants and employees;

Legal & General and other organisations may access and use from other countries the information recorded by fraud prevention agencies; I/We can contact Legal & General at: Group Financial Crime, Legal & General House, Kingswood, Tadworth, Surrey KT20 6EU if I/we want to receive details of the relevant fraud prevention agencies.

I/We have a legal right to see these details.

If I/we have been dealing with a financial adviser, Legal & General will give him/her information about the product and, where appropriate, provide him/her with other information about my/our dealings with Legal & General to enable him/her to give me/us informed advice.

Where I/we have been introduced to Legal & General by a bank or building society, Legal & General will share my/our information with them to enable them to:

- (a) carry out market research, statistical analysis and customer profiling; and
- (b) send me/us marketing information about their products and services and products and services of companies in the Legal & General group and of third parties whose products and services Legal & General offers to its customers.

By signing below, I/we agree to receive the information as described in (b) above by post or telephone, unless I/we indicate otherwise by writing to Legal & General at Legal & General Assurance Society Limited, PO Box 274, Bangor, BT19 7WZ.

**Access:**

I/We have the right to ask for a copy of my/our information in return for payment of a small fee. To obtain a copy of my/our information, I/we should write to Legal & General at the address shown on my/our most recent customer correspondence.

**For your own benefit and protection you should read these terms and the Key Features, Illustration and Funds key features of the Portfolio Bond carefully before signing this declaration. These documents summarise the basis of the agreement between us. If you don't understand any point, please ask for further information.**

| First or sole applicant   | Second applicant  |
|---|---|
| <div style="border: 1px solid black; height: 40px; margin-bottom: 5px;">Signature</div> <div style="display: flex; align-items: center;"> <span style="margin-right: 5px;">Date</span> <div style="display: flex; gap: 5px;"> <div style="border: 1px solid black; width: 25px; height: 25px; text-align: center;">D</div> <div style="border: 1px solid black; width: 25px; height: 25px; text-align: center;">D</div> <div style="border: 1px solid black; width: 25px; height: 25px; text-align: center;">M</div> <div style="border: 1px solid black; width: 25px; height: 25px; text-align: center;">M</div> <div style="border: 1px solid black; width: 25px; height: 25px; text-align: center;">Y</div> <div style="border: 1px solid black; width: 25px; height: 25px; text-align: center;">Y</div> <div style="border: 1px solid black; width: 25px; height: 25px; text-align: center;">Y</div> <div style="border: 1px solid black; width: 25px; height: 25px; text-align: center;">Y</div> </div> </div> | <div style="border: 1px solid black; height: 40px; margin-bottom: 5px;">Signature</div> <div style="display: flex; align-items: center;"> <span style="margin-right: 5px;">Date</span> <div style="display: flex; gap: 5px;"> <div style="border: 1px solid black; width: 25px; height: 25px; text-align: center;">D</div> <div style="border: 1px solid black; width: 25px; height: 25px; text-align: center;">D</div> <div style="border: 1px solid black; width: 25px; height: 25px; text-align: center;">M</div> <div style="border: 1px solid black; width: 25px; height: 25px; text-align: center;">M</div> <div style="border: 1px solid black; width: 25px; height: 25px; text-align: center;">Y</div> <div style="border: 1px solid black; width: 25px; height: 25px; text-align: center;">Y</div> <div style="border: 1px solid black; width: 25px; height: 25px; text-align: center;">Y</div> <div style="border: 1px solid black; width: 25px; height: 25px; text-align: center;">Y</div> </div> </div> |

The application is not valid without all signature(s) and date(s).







[www.legalandgeneral.com](http://www.legalandgeneral.com)



**Legal & General Assurance Society Limited**

Registered in England No.166055

**Registered office:** One Coleman Street, London EC2R 5AA

We are members of the Association of British Insurers.

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